



Attorney Docket No.: 4341P053D2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Scott Adams, et al.

Application No.: 10/766,720

Filed: January 27, 2004

For: ELECTROSTATIC ACTUATOR  
FOR MICROELECTROMECHANICAL  
SYSTEMS AND METHODS OF  
FABRICATION

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Examiner: Tamai, Karl I.

Art Unit: 2834

EXPRESS MAIL STATEMENT

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Date of Deposit: NOVEMBER 10, 2005

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P.O. Box 1450  
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Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

XXX      37 C.F.R. §1.97(b).

           37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

           A statement pursuant to 37 C.F.R. §1.97(e) or

           A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

           37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1)      A statement pursuant to 37 C.F.R. §1.97(e); and

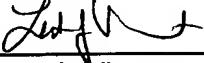
(2)      A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 10, 2005

  
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**INFORMATION DISCLOSURE  
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Sheet 1 of 14

<b>Complete if Known</b>	
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Art Unit	2834
Examiner Name	Tamai, Karl I.
Attorney Docket Number	4341P053D2

14

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-	3,493,820	2/3/1970	Rosvold	
		US-	4,104,086	8/1/1978	Bondur et al.	
		US-	4,421,381	12/20/1983	Ueda et al.	
		US-	4,509,249	4/9/1985	Goto et al.	
		US-	4,519,128	5/28/1985	Chesebro et al.	
		US-	4,553,436	11/19/1985	Hansson	
		US-	4,571,819	2/25/1986	Rogers et al.	
		US-	4,613,203	9/23/1986	Proetel et al.	
		US-	4,670,092	6/2/1987	Motamedi	
		US-	4,688,069	8/18/1987	Joy et al.	
		US-	4,706,374	11/17/1987	Murakami	
		US-	4,738,500	4/19/1988	Grupp et al.	
		US-	4,784,720	11/15/1988	Douglas	
		US-	4,855,017	8/8/1989	Douglas	
		US-	4,876,217	10/24/1989	Zdebel	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		DE 19757171 A1	7/1/1999	Bosch		
		DE 19800745 A1	7/15/1999	Bosch		
		EP 0 813 089 A2	12/17/1997	Choi et al.		
		EP 0 834759 A2	4/8/1998	Dhuler, et al.		
		EP 0 980014 A1	2/16/2000	Meier, et al.		

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Sheet	2	of	14	Attorney Docket Number	4341P053D2
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		us-	5,068,203	11/26/1991	Logsdon et al.	
		us-	5,083,857	1/28/1992	Hornbeck	
		us-	5,097,354	3/17/1992	Goto	
		us-	5,172,262	12/15/1992	Hornbeck	
		us-	5,198,390	3/30/1993	MacDonald et al.	
		us-	5,203,208	4/20/1993	Bernstein	
		us-	5,226,321	7/13/1993	Varnham et al.	
		us-	5,235,187	8/10/1993	Arney et al.	
		us-	5,316,979	5/31/1994	MacDonald et al.	
		us-	5,345,824	9/13/1994	Sherman et al.	
		us-	5,391,236	2/21/1995	Krut et al.	
		us-	5,393,375	2/28/1995	MacDonald et al.	
		us-	5,397,904	3/14/1995	Arney et al.	
		us-	5,399,415	3/21/1995	Chen et al.	
		us-	5,426,070	6/20/1995	Shaw et al.	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 1 120677 A2	8/1/2001	Wood et al.		
		GB 2 175 705 A	12/3/1986	Greenwood et al.		
		GB 2 239 101 A	6/19/1991	Rowe		
		JP 60-107017	6/12/1985	Tanabe et al.		
		JP 4-343318 A	11/30/1992	Nakagawa et al.		

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		Number-Kind Code <sup>2</sup> (if known)				
		US-	5,427,975	6/27/1995	Sparks et al.	
		US-	5,428,259	6/27/1995	Suzuki	
		US-	5,449,903	9/12/1995	Arney et al.	
		US-	5,454,906	10/3/1995	Baker et al.	
		US-	5,463,246	10/31/1995	Matsunami	
		US-	5,485,039	1/16/1996	Fujita et al.	
		US-	5,488,862	2/6/1996	Neukermans et al.	
		US-	5,501,893	3/26/1996	Laermer et al.	
		US-	5,506,175	4/9/1996	Zhang et al.	
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		US-	5,554,304	9/10/1996	Suzuki	
		US-	5,563,343	10/8/1996	Shaw et al.	
		US-	5,599,744	2/4/1997	Koh et al.	
		US-	5,600,383	2/4/1997	Hornbeck	
		US-	5,608,264	3/4/1997	Gaul	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 5-107485 A	4/30/1993	Koyabu et al.		
		JP 6-180428	6/28/1994	Toyoda et al.		
		JP 5-55401 A				
		WO 94/18697	8/18/1994	Shaw et al.		
		WO 97/04283	2/6/1997	Miller et al.		

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		Number-Kind Code <sup>2</sup> (if known)				
		us-	5,610,335	3/11/1997	Shaw et al.	
		us-	5,611,888	3/18/1997	Bosch et al.	
		us-	5,611,940	3/18/1997	Zettler	
		us-	5,628,917	5/13/1997	MacDonald et al.	
		us-	5,629,790	5/13/1997	Neukermans et al.	
		us-	5,637,189	6/10/1997	Peeters et al.	
		us-	5,642,015	6/24/1997	Whitehead et al.	
		us-	5,645,684	7/8/1997	Keller	
		us-	5,646,067	7/8/1997	Gaul	
		us-	5,648,618	7/15/1997	Neukermans et al.	
		us-	5,673,139	9/30/1997	Johnson	
		us-	5,682,062	10/28/1997	Gaul	
		us-	5,696,619	12/9/1997	Knipe et al.	
		us-	5,703,728	12/30/1997	Smith et al.	
		us-	5,719,073	2/17/1998	Shaw et al.	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 99/36941	7/22/1999	Adams et al.		
		WO 99/36948	7/22/1999	Adams et al.		
		WO 99/67666	12/29/1999	Laor		
		WO 01/33898 A2	5/10/2001	Ramaswami et al.		
		WO 01/39413 A2	5/31/2001	Ramaswami et al.		

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		US- 5,726,073	3/10/1998	Zhang et al.	
		US- 5,759,913	6/2/1998	Fulford, Jr. et al.	
		US- 5,770,465	6/23/1998	MacDonald et al.	
		US- 5,784,187	7/21/1998	Cunningham et al.	
		US- 5,798,557	8/25/1998	Salatino et al.	
		US- 5,804,084	9/8/1998	Nasby et al.	
		US- 5,814,889	9/29/1998	Gaul	
		US- 5,846,849	12/8/1998	Shaw et al.	
		US- 5,847,454	12/8/1998	Shaw et al.	
		US- 5,853,959	12/29/1998	Brand et al.	
		US- 5,912,094	6/15/1999	Aksyuk et al.	
		US- 5,912,608	6/15/1999	Asada	
		US- 5,915,168	6/22/1999	Salatino et al.	
		US- 5,920,417	7/6/1999	Johnson	
		US- 5,933,746	8/3/1999	Begley et al.	

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		WO 01/57902 A2	8/9/2001	Adams et al.		

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		us- 5,969,848	10/19/1999	Lee et al.	
		us- 5,973,396	10/26/1999	Farnworth	
		us- 5,998,816	12/7/1999	Nakaki et al.	
		us- 5,998,906	12/7/1999	Jerman et al.	
		us- 5,999,303	12/7/1999	Drake	
		us- 6,000,280	12/14/1999	Miller et al.	
		us- 6,020,272	2/1/2000	Fleming	
		us- 6,028,689	2/22/2000	Michalicek et al.	
		us- 6,040,935	3/21/2000	Michalicek	
		us- 6,044,705	4/4/2000	Nuekermans et al.	
		us- 6,066,265	5/23/2000	Galvin et al.	
		us- 6,072,617	6/6/2000	Henck	
		us- 6,075,639	6/13/2000	Kino et al.	
		us- 6,078,016	6/20/2000	Yoshikawa et al.	
		us- 6,097,858	8/1/2000	Laor	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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**INFORMATION DISCLOSURE  
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**Complete if Known**

Application Number	10/766,720
Filing Date	January 27, 2004
First Named Inventor:	Scott Adams
Art Unit	2834
Examiner Name	Tamai, Karl I.

Sheet	7	of	14	Attorney Docket Number	4341P053D2
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		us-	6,097,859	8/1/2000	Solgaard et al.	
		us-	6,097,860	8/1/2000	Laor	
		us-	6,101,299	8/8/2000	Laor	
		us-	6,107,109	8/22/2000	Akram et al.	
		us-	6,107,115	8/22/2000	Atobe et al.	
		us-	6,121,552	9/19/2000	Brosnihan et al.	
		us-	6,128,121	10/3/2000	Choi et al.	
		us-	6,146,248	11/14/2000	Jairath et al.	
		us-	6,149,190	11/21/2000	Galvin et al.	
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		us-	6,180,536 B1	1/30/2001	Chong et al.	
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		us-	6,245,590 B1	6/12/2001	Wine et al.	
		us-	6,253,001 B1	6/26/2001	Hoen	
		us-	6,262,827 B1	7/17/2001	Ueda et al.	
		us-	6,285,490 B1	9/4/2001	Meier et al.	
		us-	6,295,154 B1	9/25/2001	Laor et al.	
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		us-	6,323,982 B1	11/27/2001	Hornbeck	
		us-	6,329,738 B1	12/11/2001	Hung et al.	
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		us-	6,337,760 B1	1/8/2002	Huibers et al.	
		us-	6,342,430 B1	1/29/2002	Adams et al.	
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		us-	6,404,313 B2	6/11/2002	Asada	

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		us-	6,458,615 B1	10/1/2002	Fedder et al.	
		us-	6,462,391 B1	10/8/2002	Chong et al.	
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		us-	6,483,961 B1	11/19/2002	Helkey et al.	
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		us-	6,552,840 B2	4/22/2003	Knipe	
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		us-	6,706,200 B2	3/16/2004	Moon et al.	

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		Timothy J. Broshnihan, James M. Bustillo, Albert P. Pisano & Roger T. Howe, "Embedded Interconnect & Electrical Isolation for High-Aspect-Ratio, SOI Inertial Instruments," Berkeley Sensor & Actuator Sensor, pp. 637-640, Transducers '97, 1997 International Conference on Solid-State Sensors and Actuators (Chicago, June 16-19, 1997)	
		Janusz Bryzek, Kurt Petersen, & Wendell McCulley, "Micromachines on the March," IEEE Spectrum, pp. 20-31 (May 1994)	
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		<u>"Lucent's New All-Optical Router Uses Bell Labs Microscopic Mirrors,"</u> Bell Labs press release, pp. 1-4, November 10, 1999. <a href="http://www.bell-labs.com/news/1999/november/10/1.html">http://www.bell-labs.com/news/1999/november/10/1.html</a>	
		Rudolf F. Graf, Radio Shack Dictionary of Electronics, p. 639, Definition of Varibale Capacitor with Picture (1974)	

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		Ming C. Wu, "MEMS for Optical and RF Applications." UCLA Extension, Department of Engineering, Information Systems and Technical Management, Engineering: 823.52, November 1-3, 1999.	
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<b>WIPO ST.16 Kind Codes</b>	<b>Kind of document</b>	<b>Comments</b>
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change